



# Challenges of Aligning the eArchiving Reference Architecture and Archiving by Design Approach

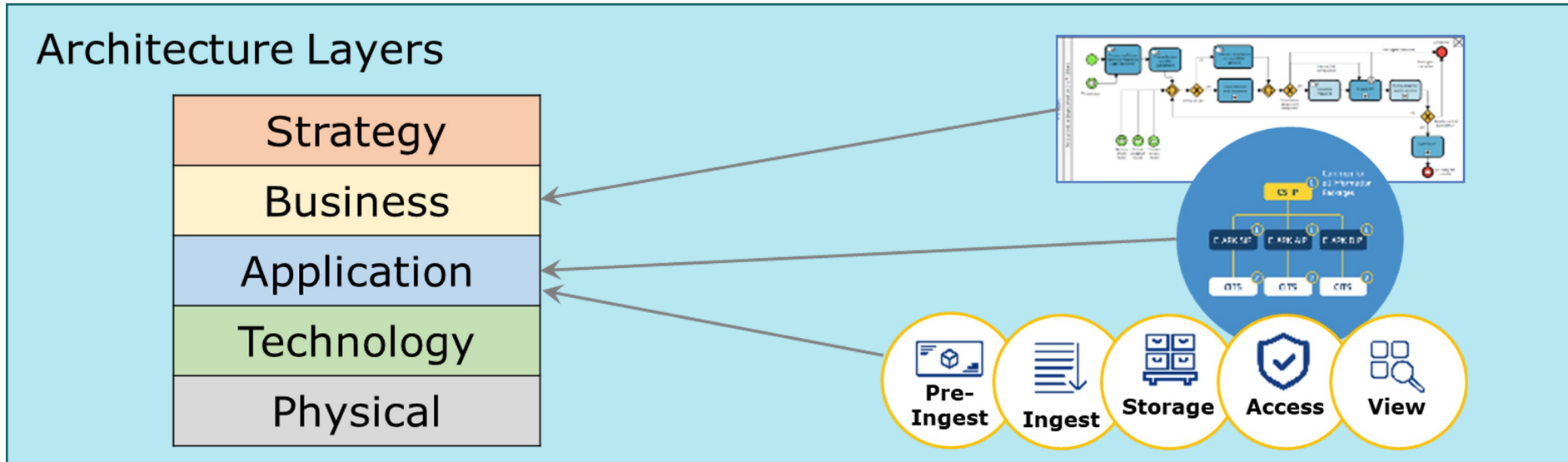
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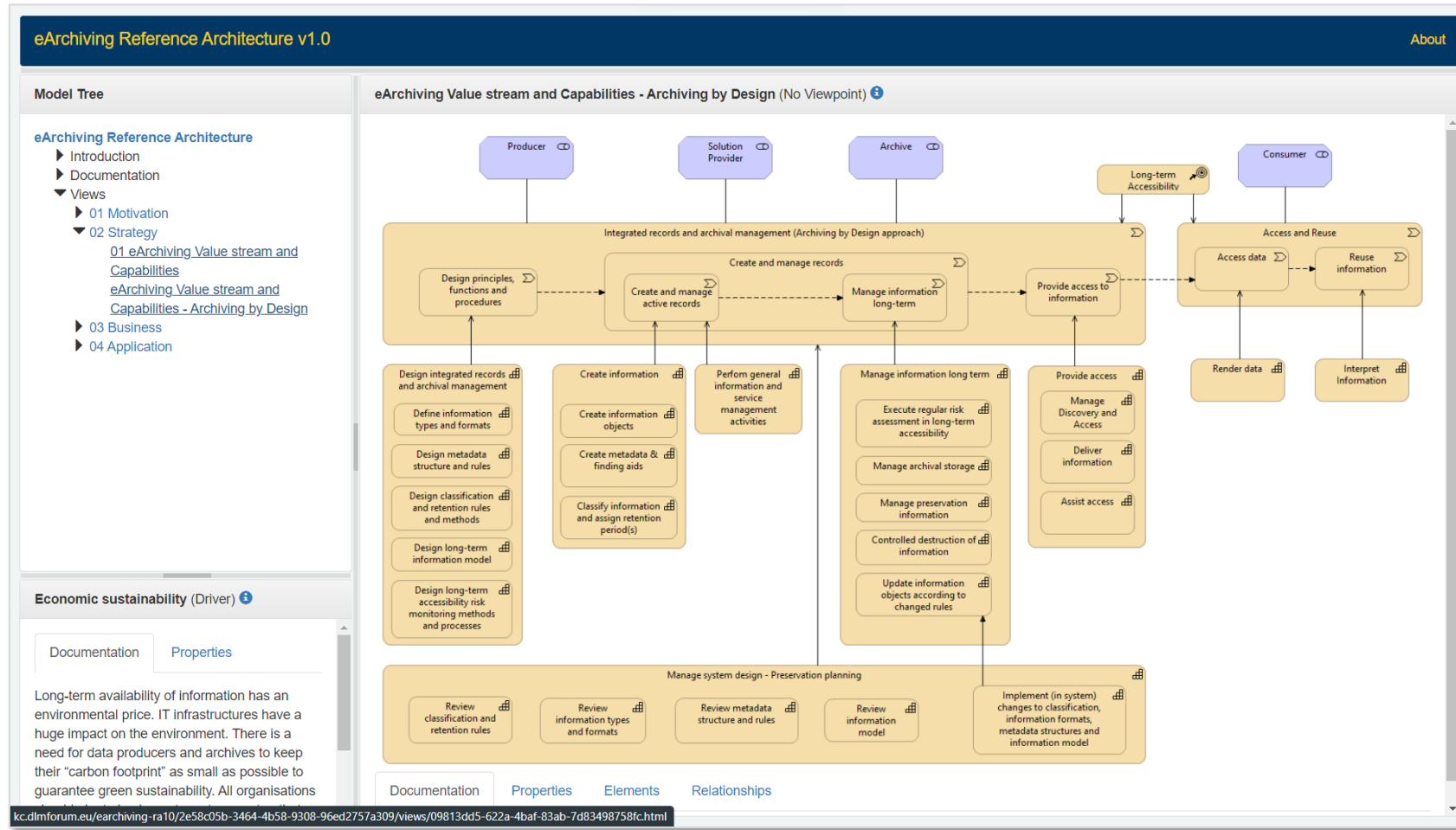
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# eArchiving Reference Architecture



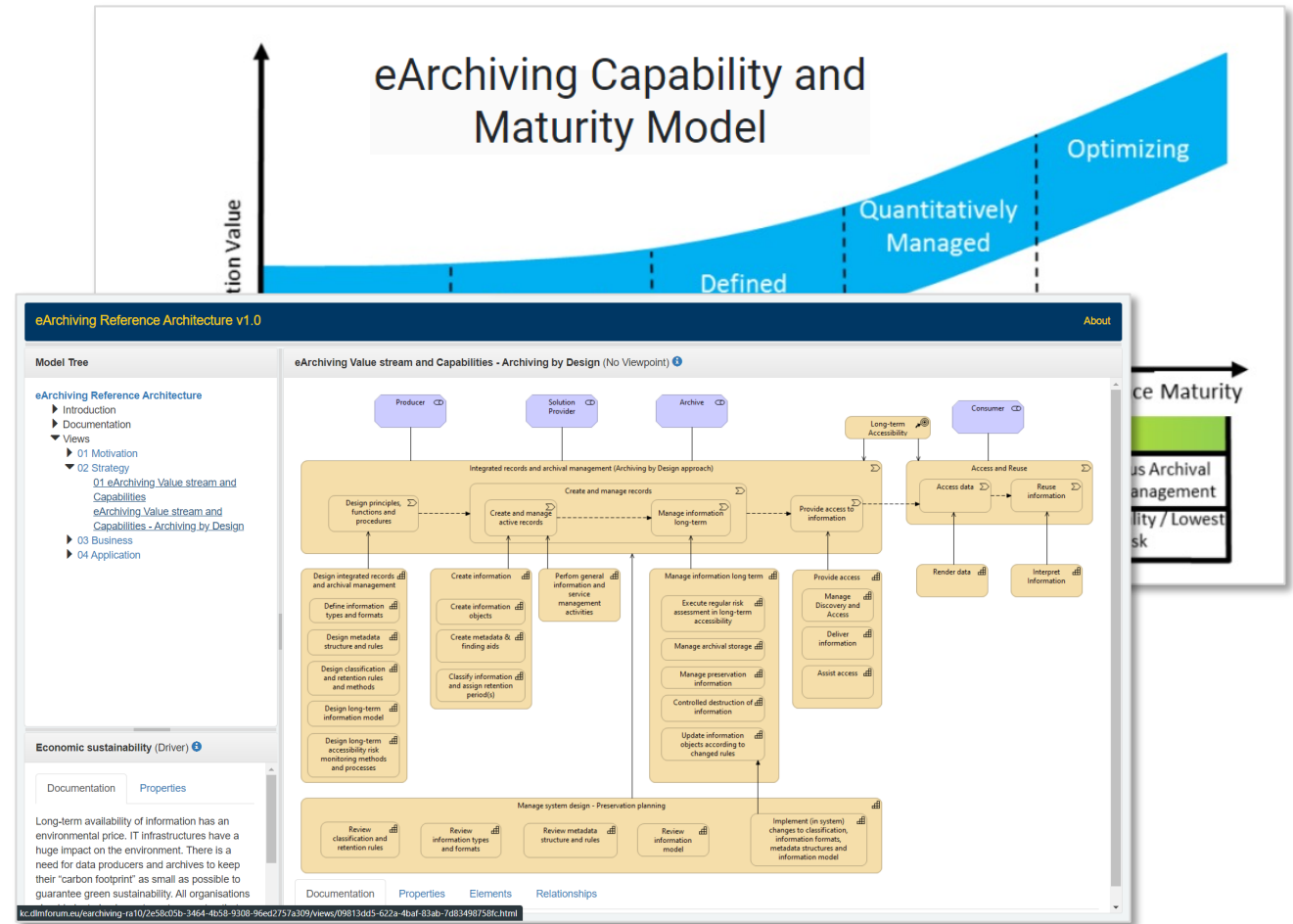
# Strategy view for AbD (v1.0)



# Reference Architecture – 1st project year

Parallel activities:

- Aligning with the new version of the eArchiving Maturity Model
- Aligning with the Archiving by Design approach



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# EAG AbD working group – Whitepaper



## Archiving by Design

This document was prepared by the European Archives Group and provides a definition of Archiving by Design as one of the new principles of European information governance. The concept of sustainable accessibility to information is the premise for a pan-European approach for the records management community and archives to address the challenges of digital transformation.

### Problem statement

**Digital transformation keeps altering the playing field in which we operate as an archival and records management community.**

- Information is everywhere, and its growth is exponential.
- Information takes on new forms.
- Information is used and re-used in novel ways utilizing new technologies.

The definition of Archiving by Design:

“During the design or adjustment of information systems, the appropriate measures are taken to ensure that the information becomes, and stays, sustainably accessible”.





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# Problems to be solved

OAIS

Integrated records and archival management (Archiving by Design approach)

Design principles, functions and procedures

Create and manage active records

Create and manage records

Manage information long-term

Provide access to information



eArchiving Reference Architecture

Scope issue: AbD deals with **any** information system and with the **whole information lifecycle**.

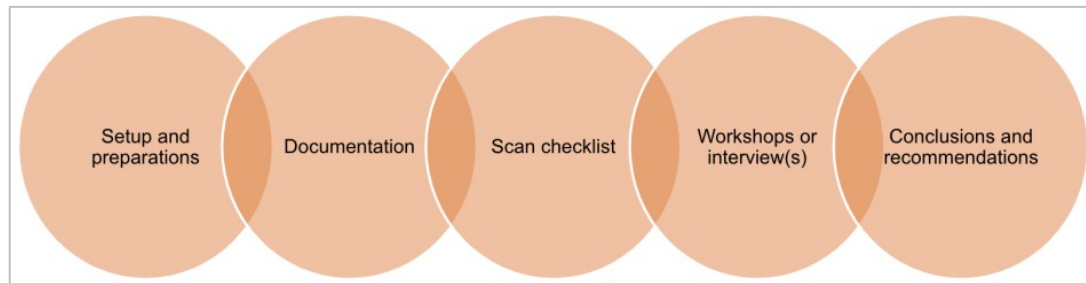
Mandate issue: (we think that) we are only mandated to say anything about digital archiving

Focus on digital archiving

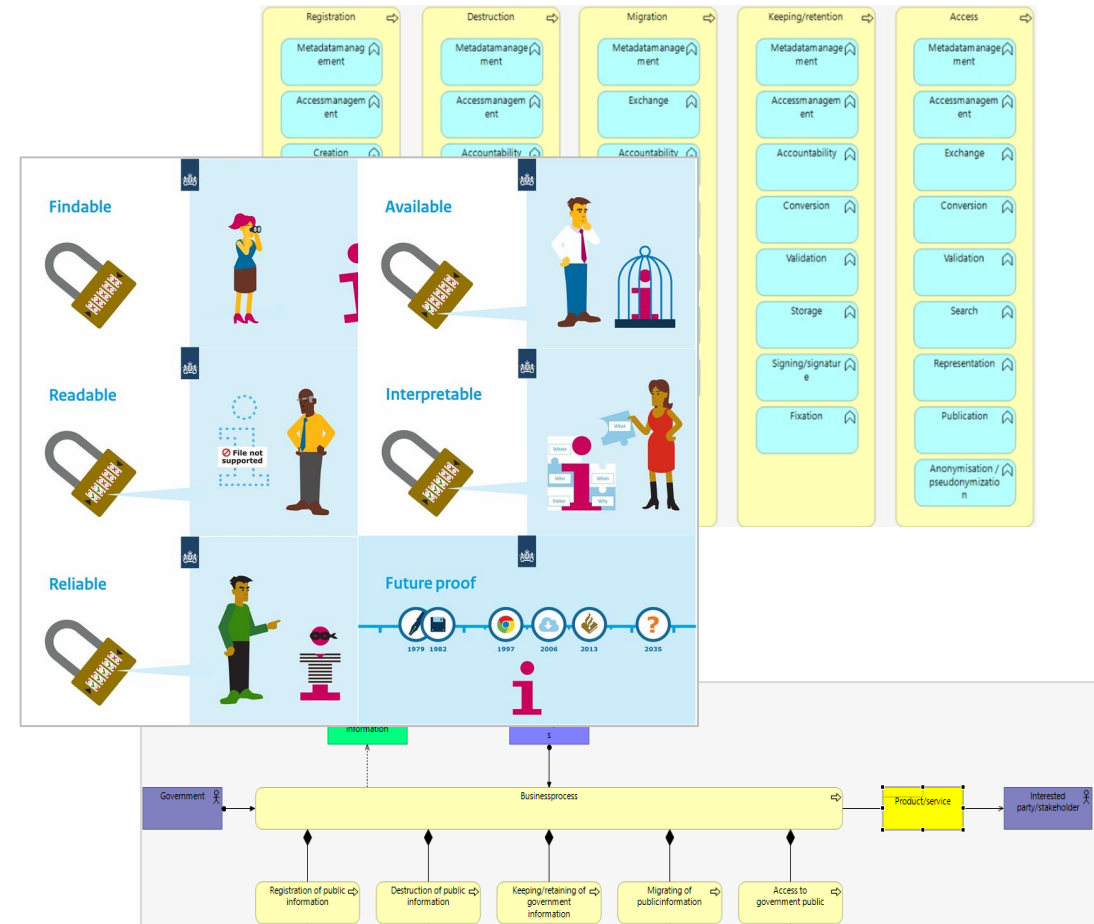
If way say something, we say it from a digital archiving point of view

# Problems to be solved

What do we really have to work with from the AbD approach?



EAG AbD working group – AbD Scan



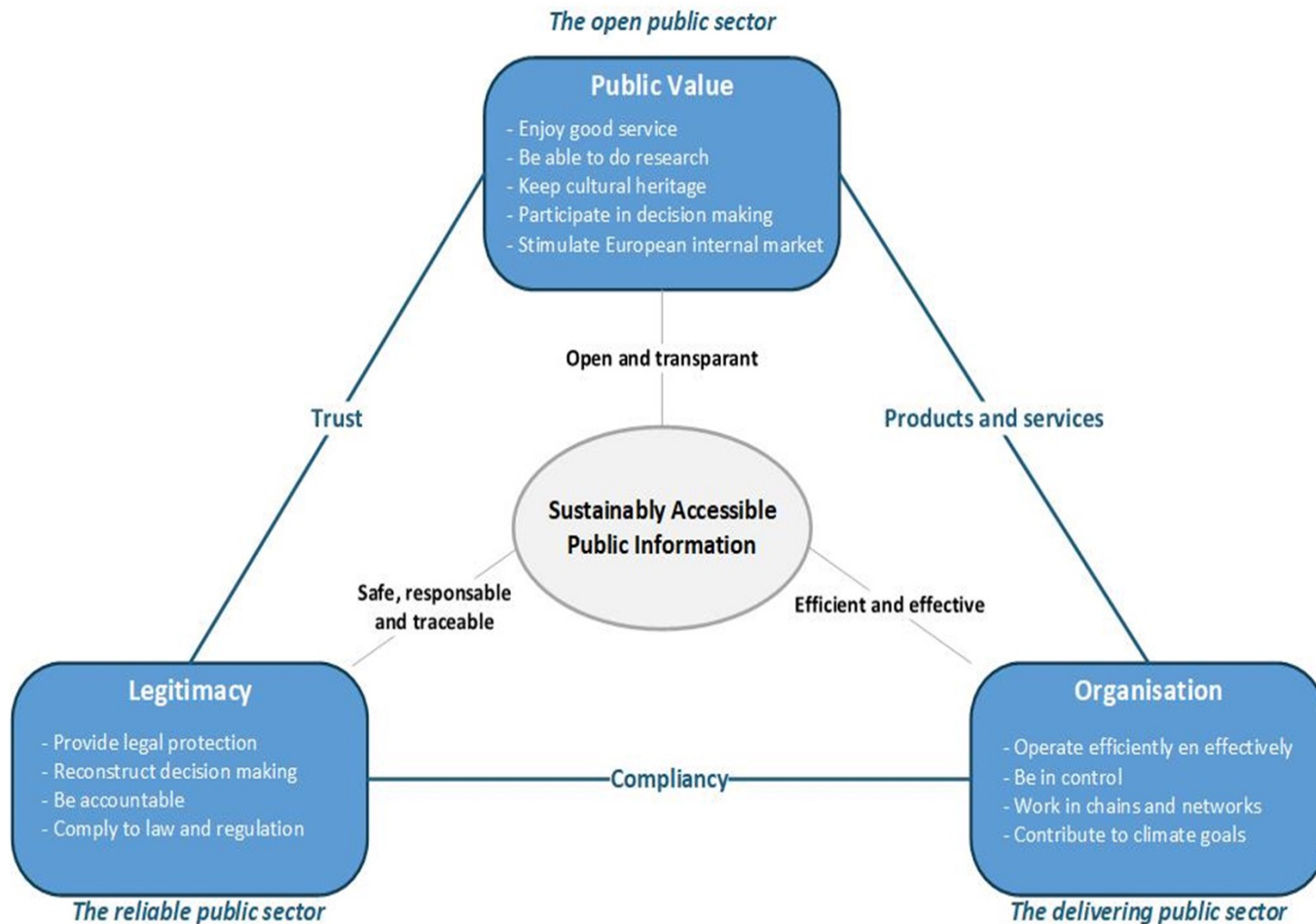
DUTO Framework

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# DUTO framework – Sustainable accessibility

## Creating public value by Sustainable Accessibility

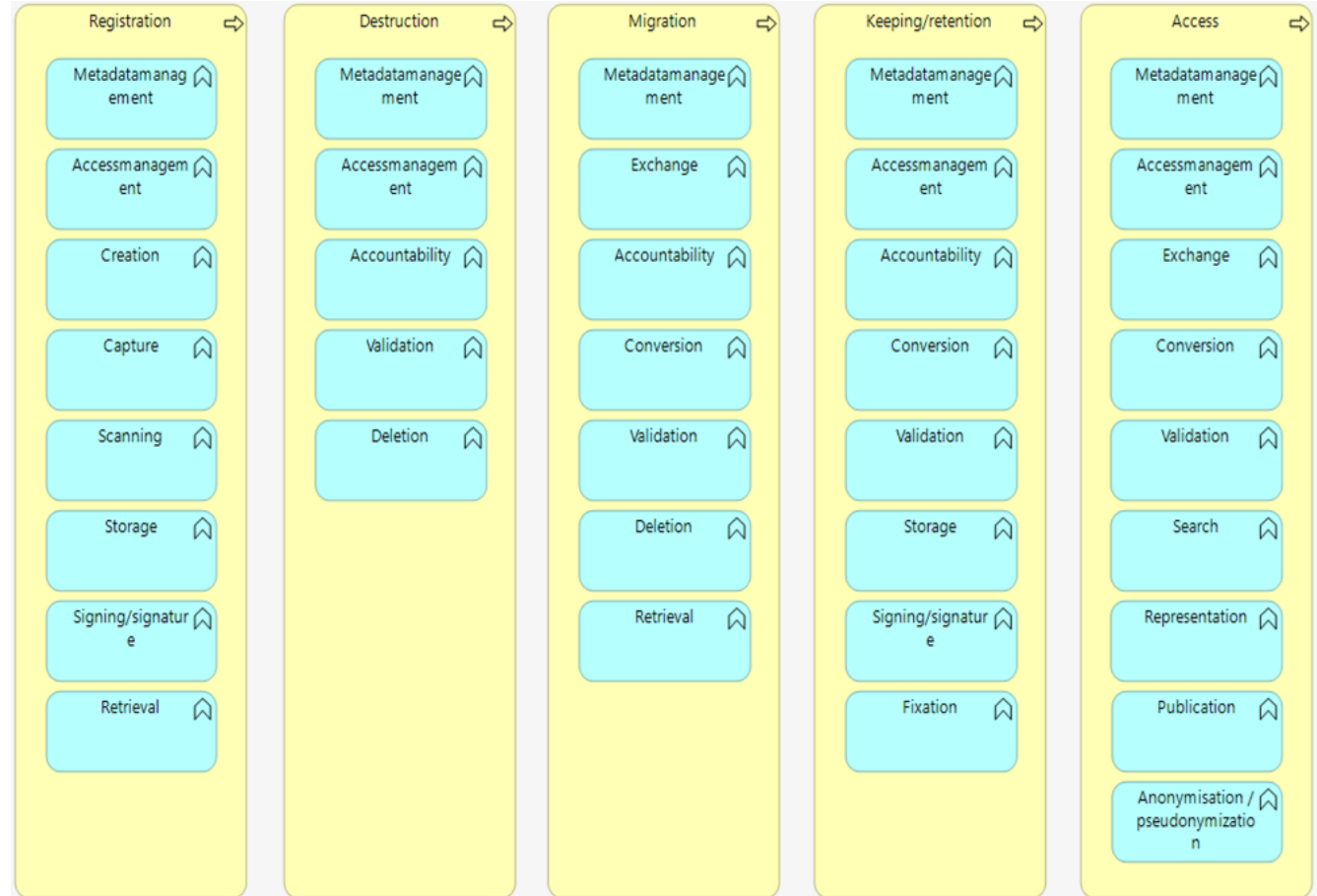


Sustainably accessible information is:

- Findable
- Available
- Readable
- Interpretable
- Reliable
- Future proof

# DUTO framework – Sustainable accessibility

- Values
- Quality attributes (6)
- General preconditions
- DUTO-processmodel
- DUTO-functionsmodel (as part of the processes)
- DUTO-services
- Implementation patterns
- Model requirements



# DUTO framework – Sustainable accessibility

## Selecting the model requirements

R19	It is possible to enrich information objects with additional metadata throughout the entire life cycle	Metadata management	S	
R20	The capturing of information objects in common file formats and/or native formats is supported	Capture	S	NEN-ISO 16175-1:20200 R.1.1.5
R21	It is possible to include information objects and associated metadata both in bulk and individually, ensuring the integrity of the content and structure of information objects	Capture	S	NEN-ISO 16175-1:2020 R.1.1.4
R22	The solution can be linked to an identity & access facility	Accessmanagement	S	

D15	User actions in the destruction process are traceable.	Validation	S	NEN-ISO TR 21965, 3.1.10 NEN-ISO 15489-1, 9.9
D16	Destruction lists can be made available in an open file format in accordance with the Preferred Formats Guide [or organization-specific standard]	Accountability	S	NEN-ISO 16175-1, 2.2.1
D17	Destruction lists can be configured based on available metadata fields.	Accountability	S	NEN-ISO 16175-1, 2.1.2
D18	Backups are not kept for longer than [period], to prevent information objects that have already been destroyed from being restored.	Deletion	S	Moreq, 514; Handreiking Digitaal vernietigen

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# AbD – Business layer views

## Views

### > 01 Motivation

### > 02 Strategy

## > 03 Business

### > 01 Pre-Ingest

### > 02 Ingest

### > 03 Access

### > 04 Preservation Planning

### > 05 Data Management

### > 06 Archival Storage

## > 07 Archiving by Design

### 01 AbD - Overview

### 02 AbD - Registration

### 03 AbD - Destruction

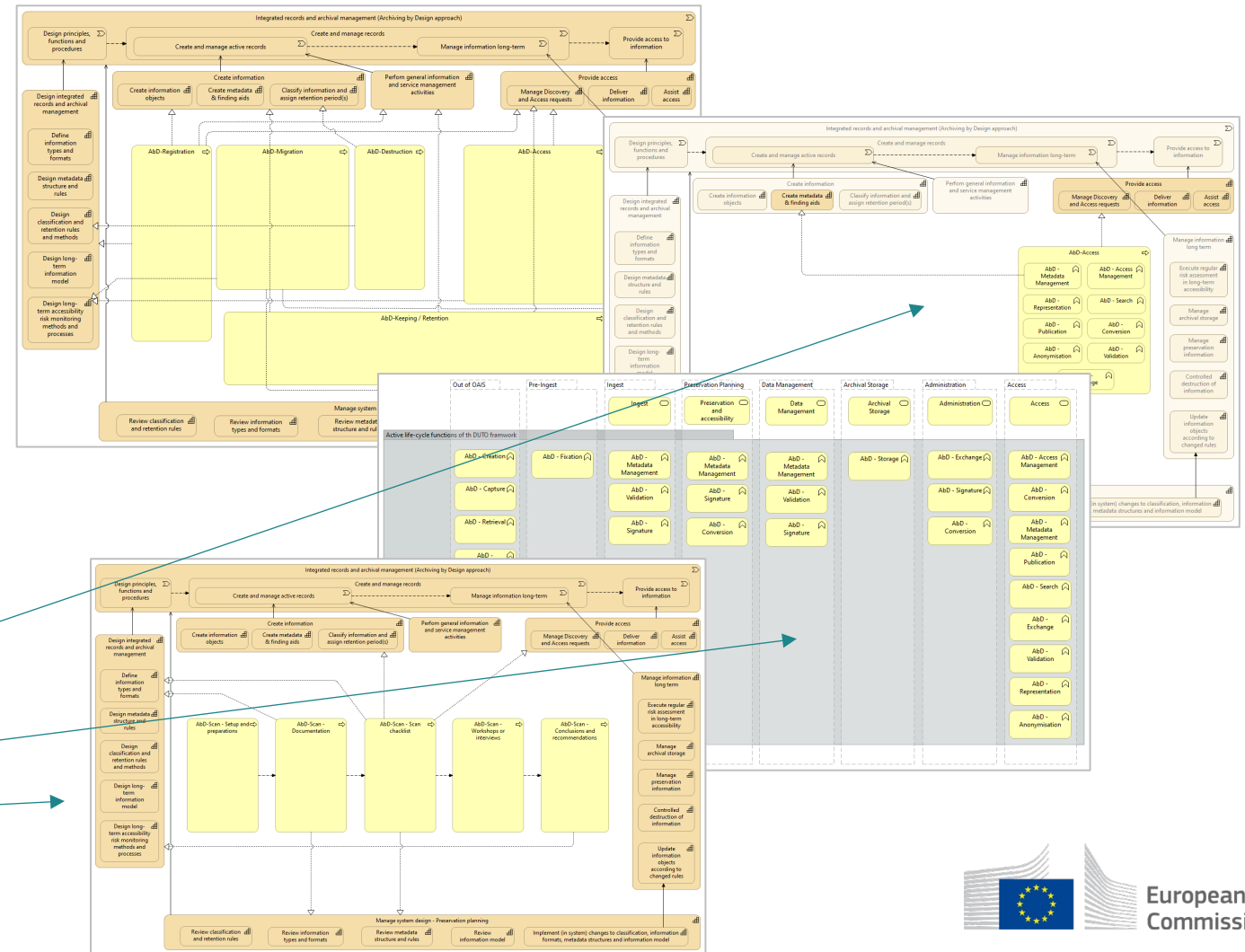
### 04 AbD - Migration

### 05 AbD - Keeping - Retention

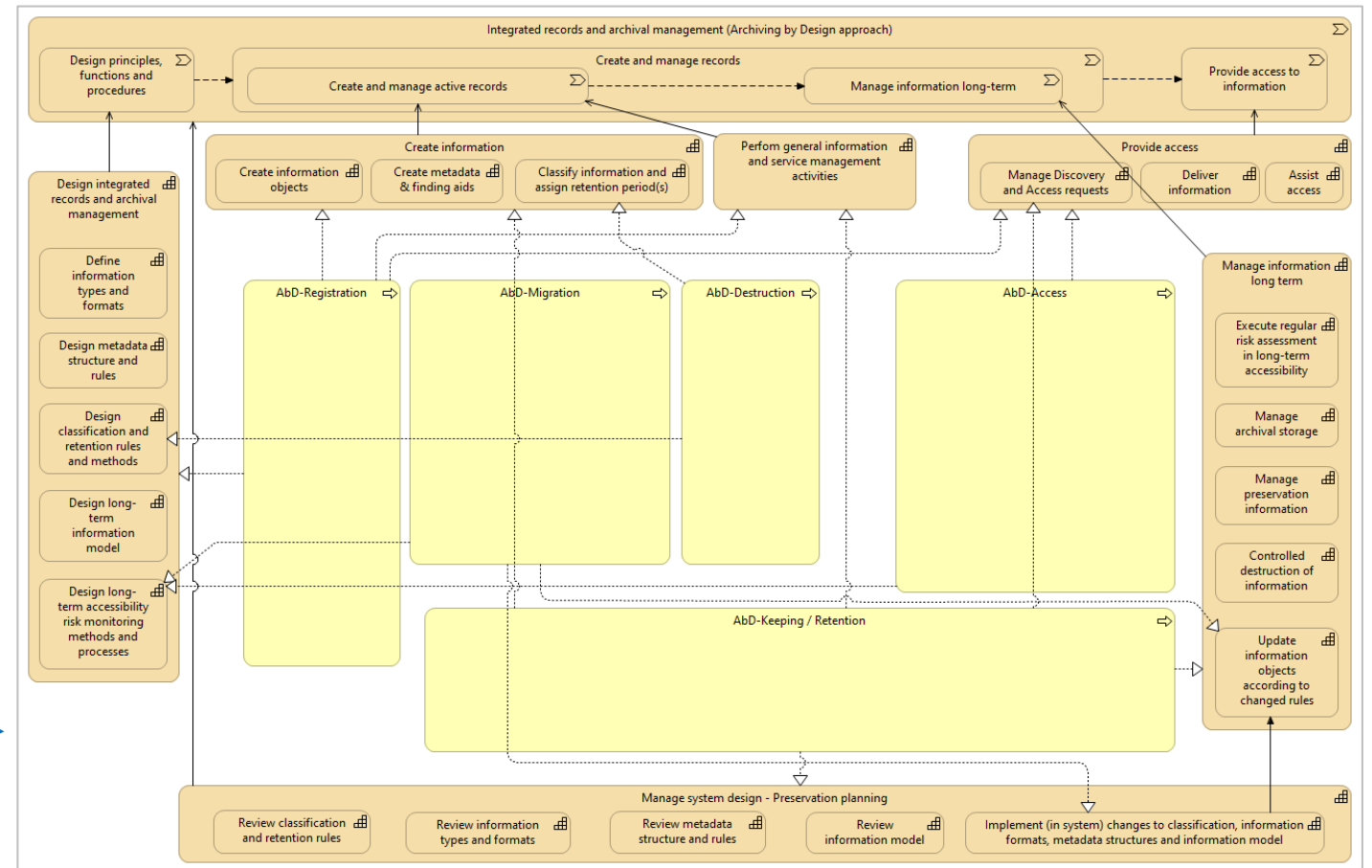
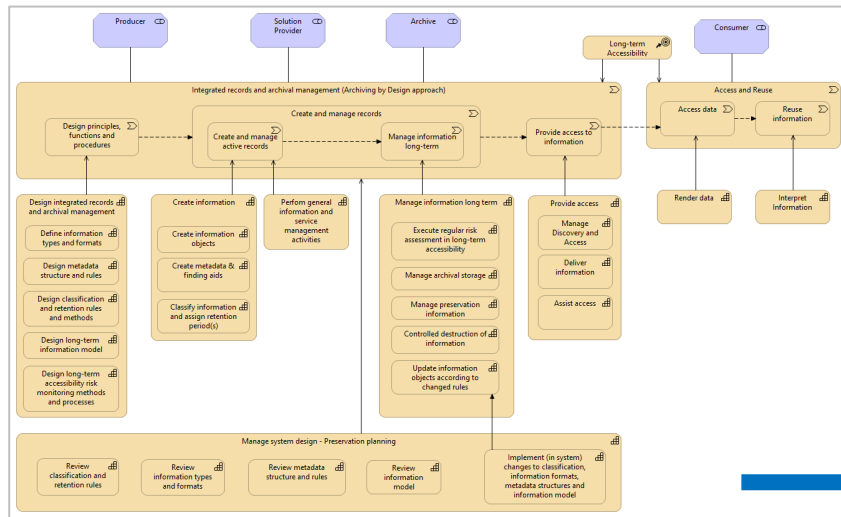
### 06 AbD - Access

### 07 AbD - OAI mapping

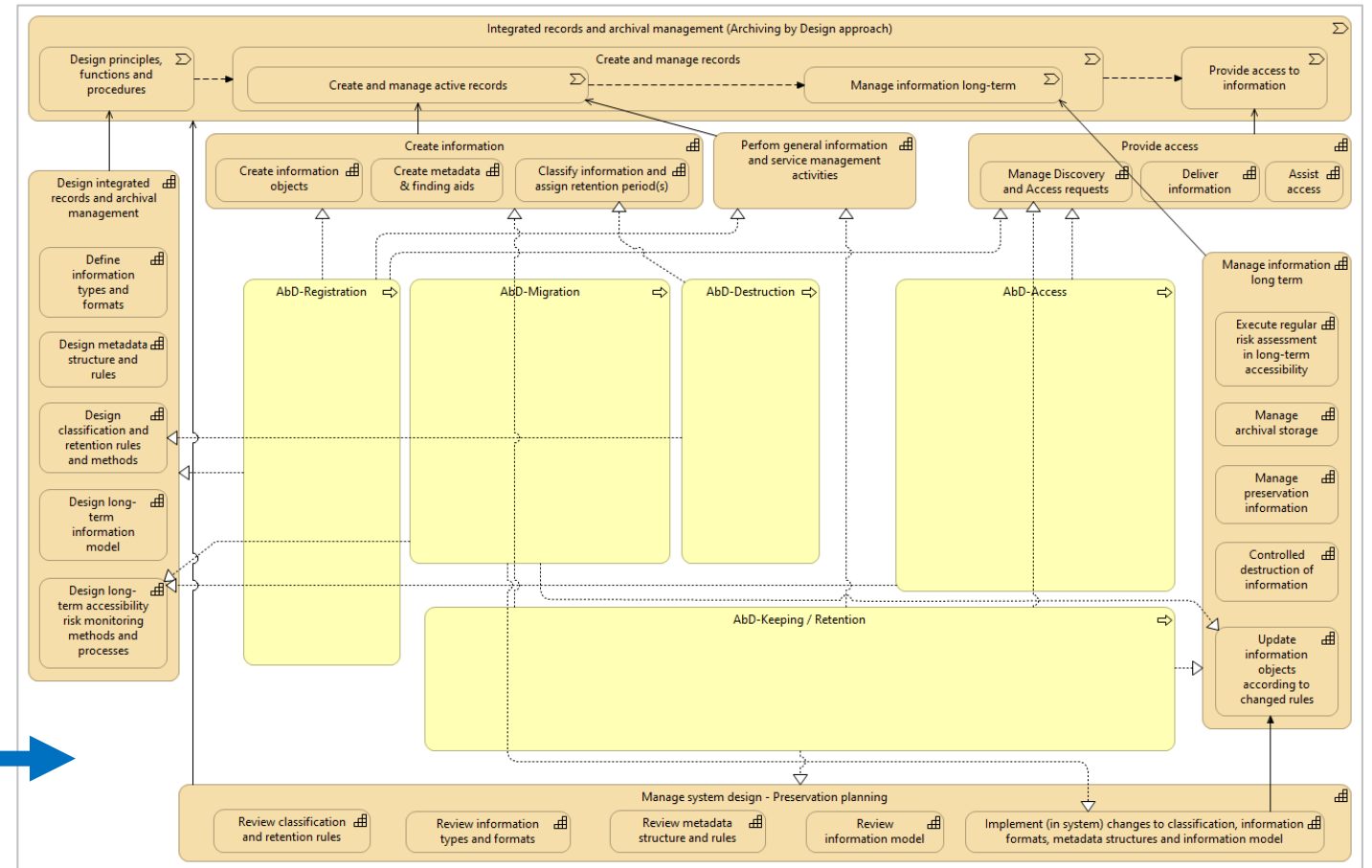
### 08 AbD - Scan process



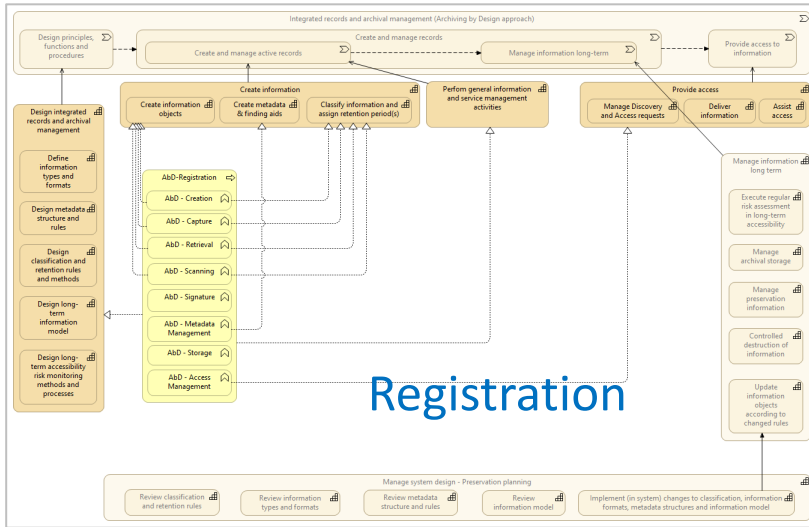
# AbD – Business layer views



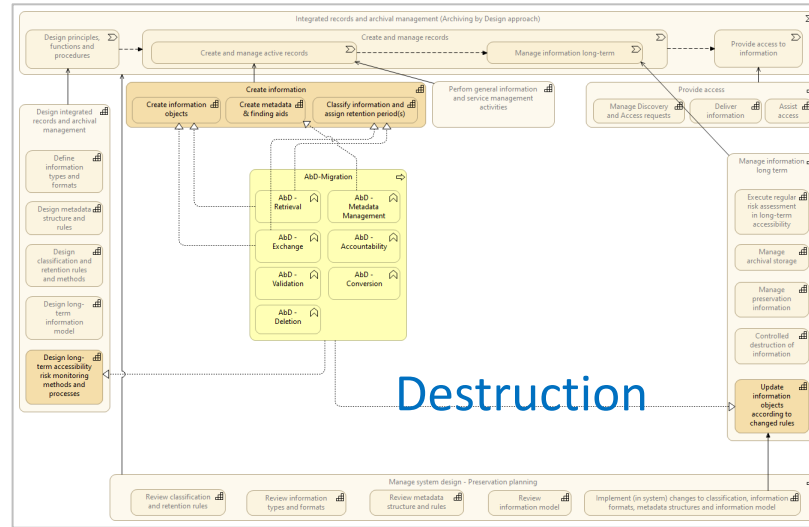
# AbD – Business layer views



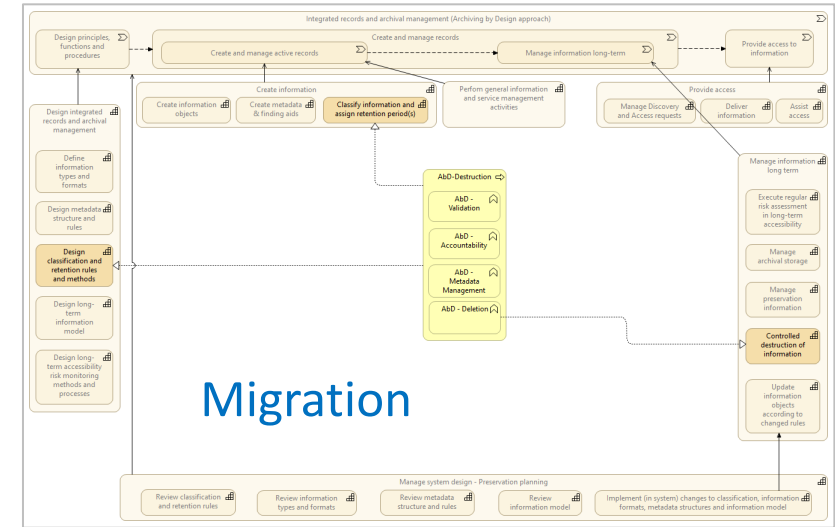
# AbD – Business layer views



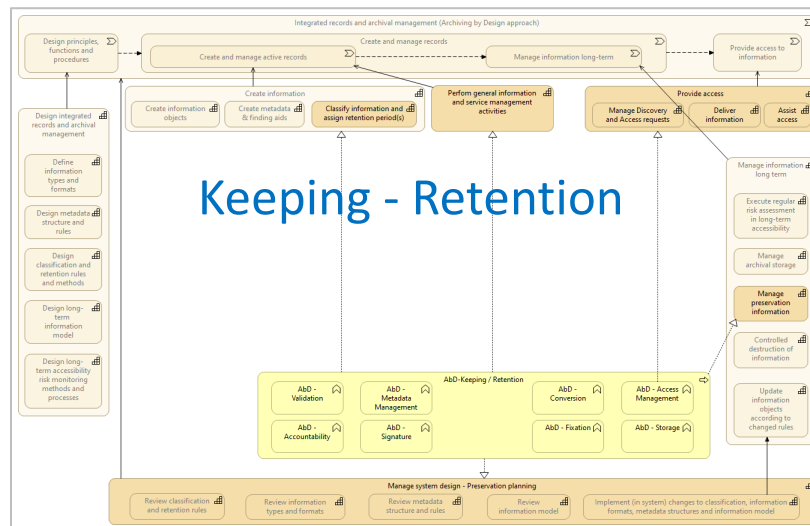
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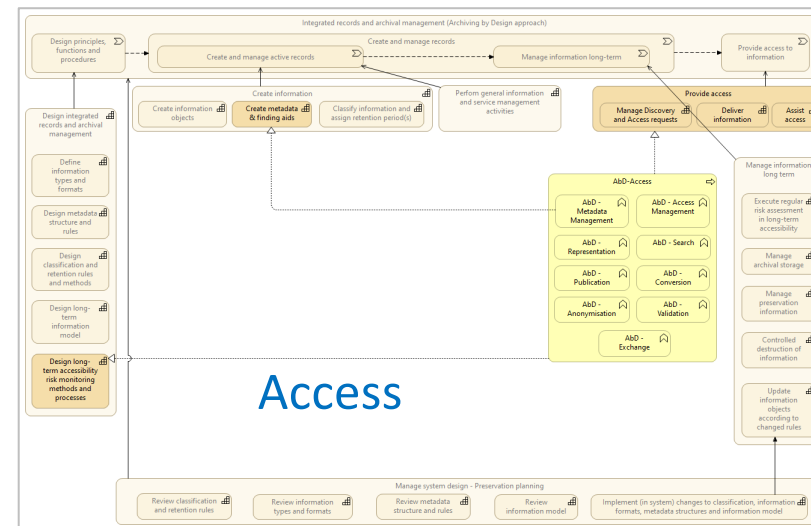
Destruction



Migration

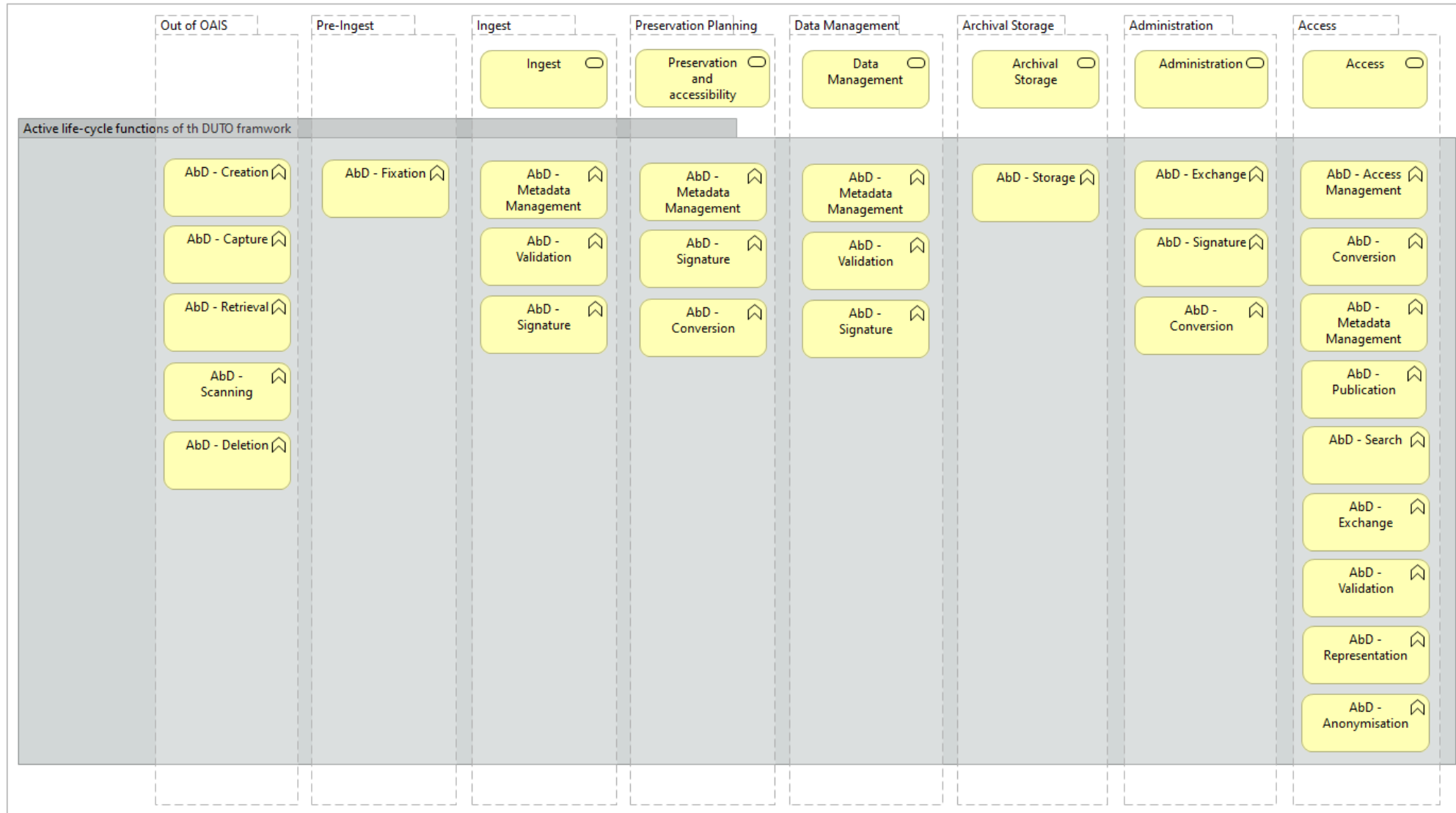


Keeping - Retention

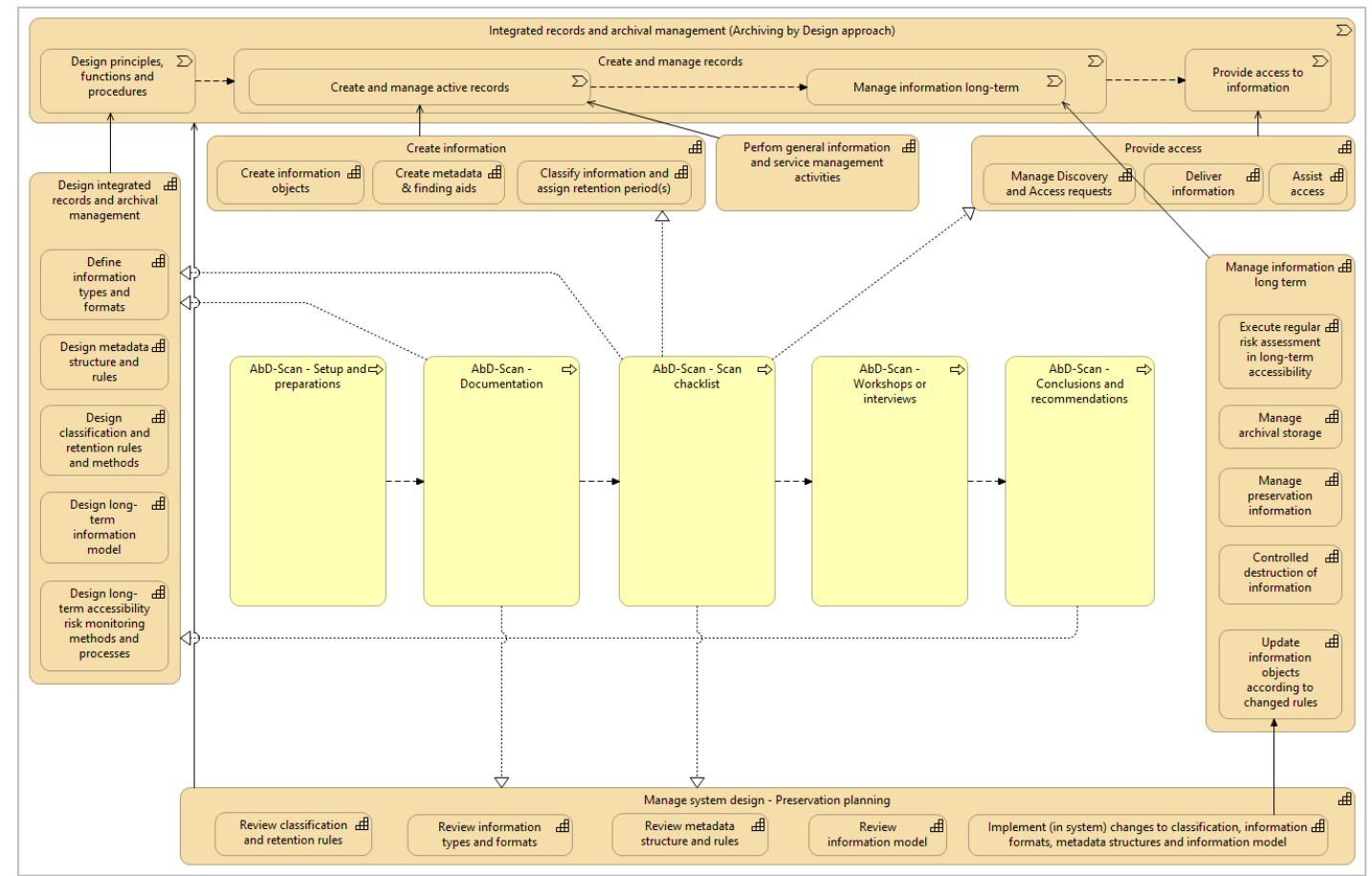
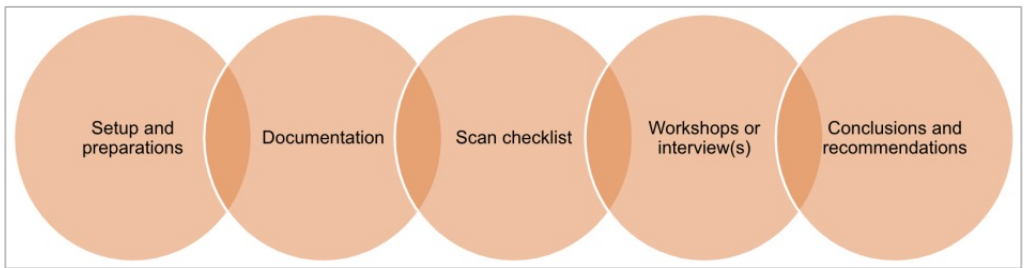


Access

# DUTO function to OAIS mapping



# Modelling AbD Scan method

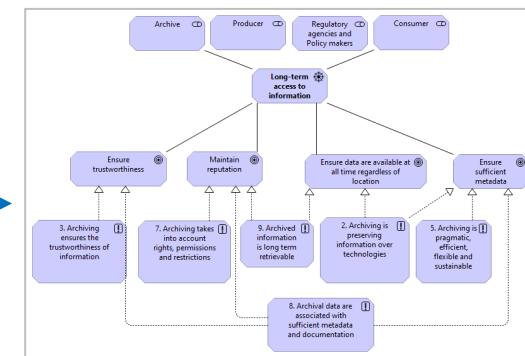
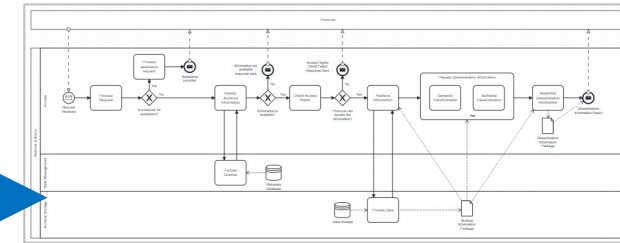
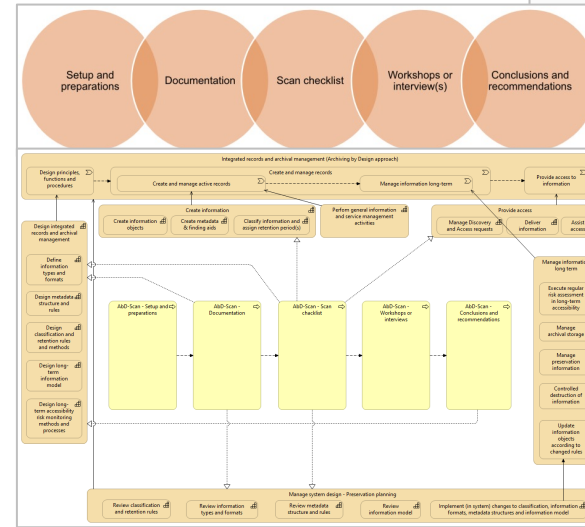
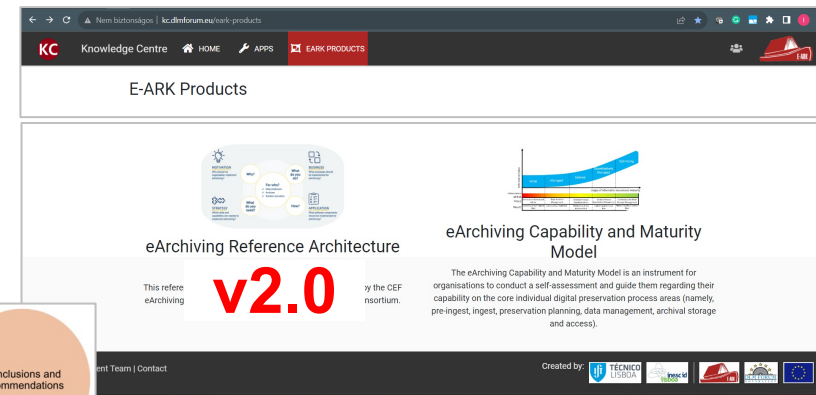


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# Future plans

- eArchiving Reference Architecture Version 2.0 (end of 2023)
- Detail the AbD Scan view → process model
- AbD scenarios (distributed archiving, archiving at the source, ...)
- Address DUTO attributes and values in the drivers – goals – principles structure of the reference architecture





# Thank you



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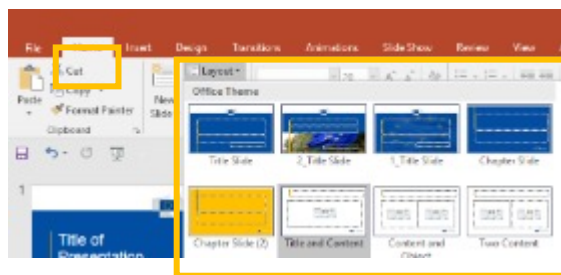


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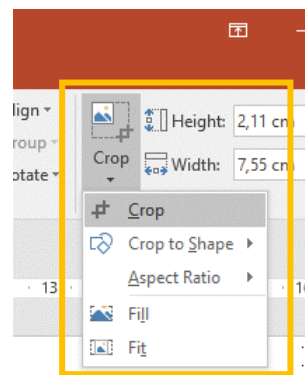
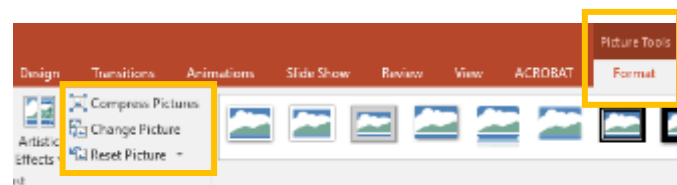
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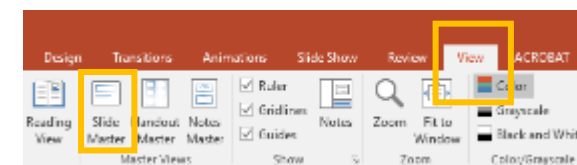
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- Images can be compressed, changed, cropped and adjusted in frame *when selected* under **Format > Crop**



- Master slides can be edited under **View > Slide Master**



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# Additional Resources

- A presentation with tips on “**How to do better PowerPoint presentations**” and a **Power Library** with example layouts, icons, and other PPT components are available [here](#).
- Images on various topics can be found on the [Audio-visual portal](#).

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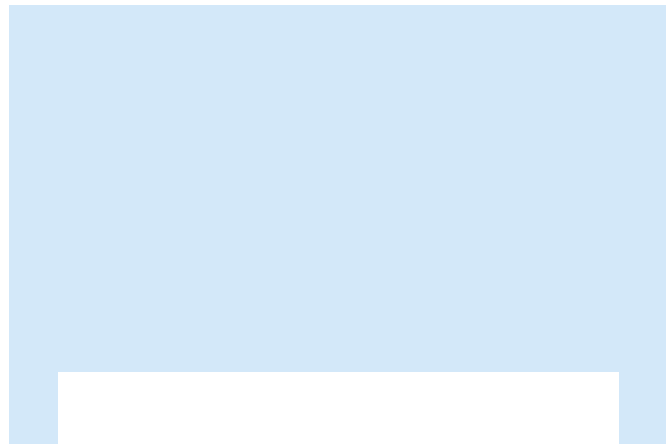
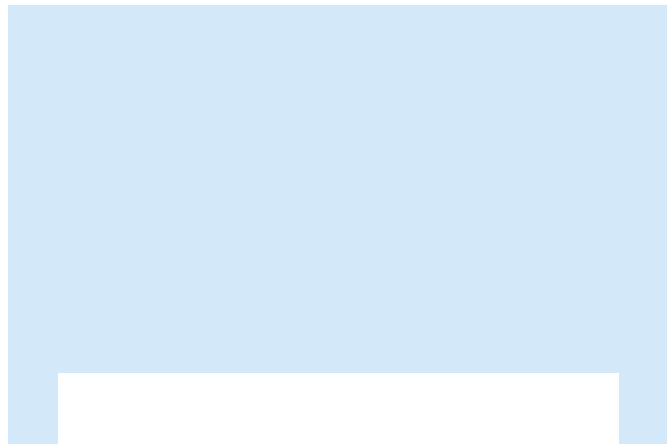
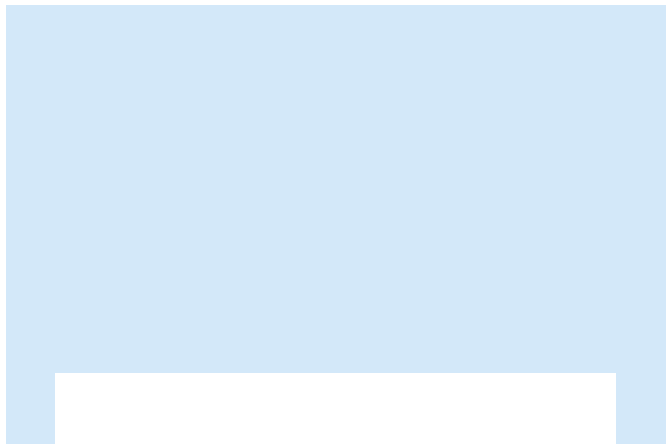


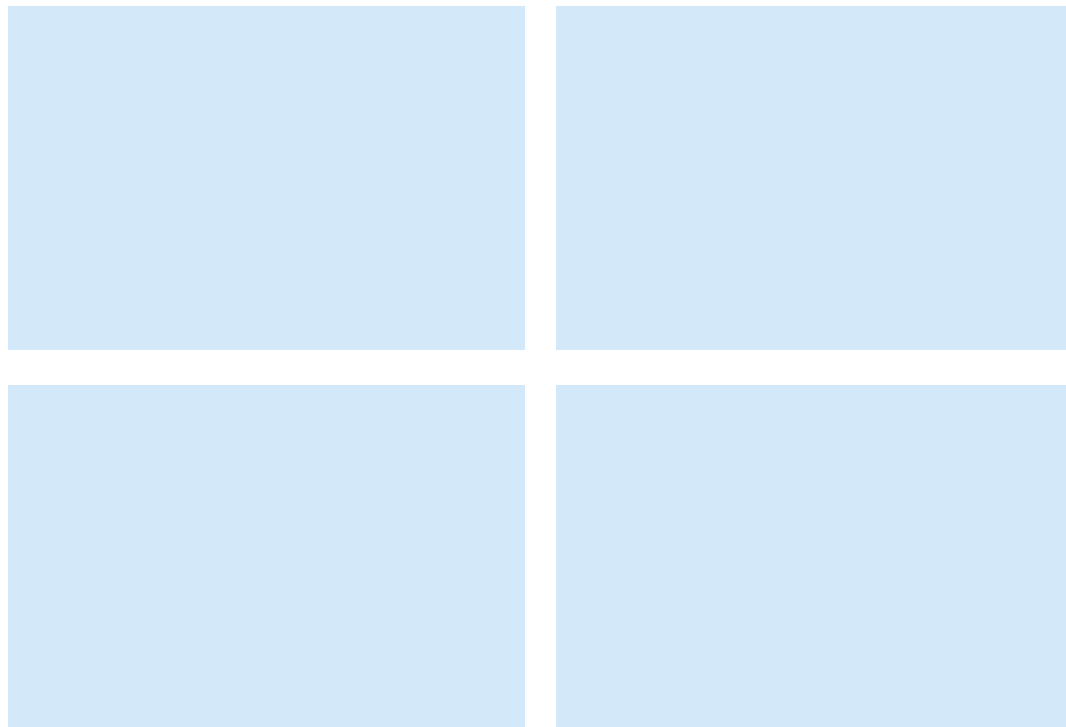


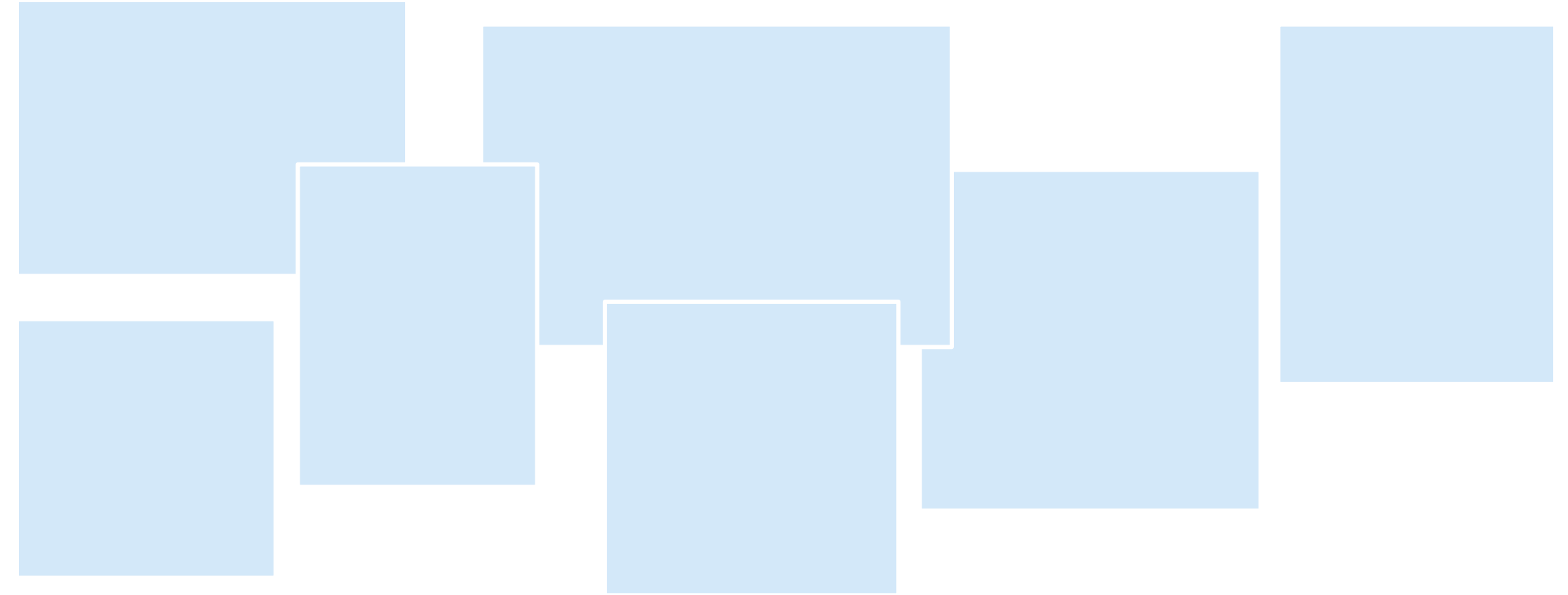


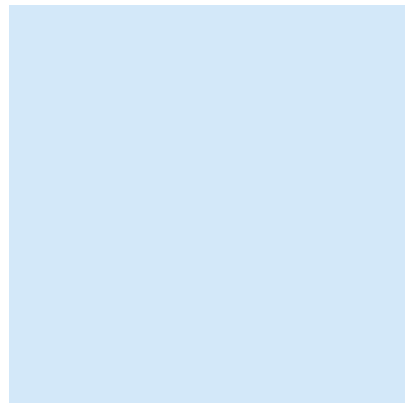
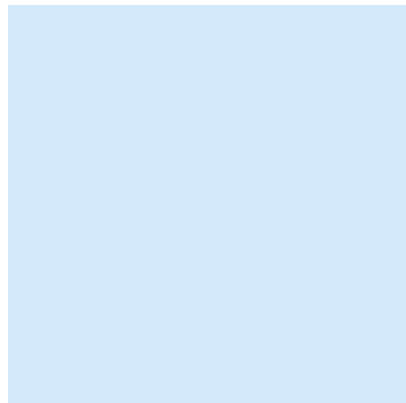
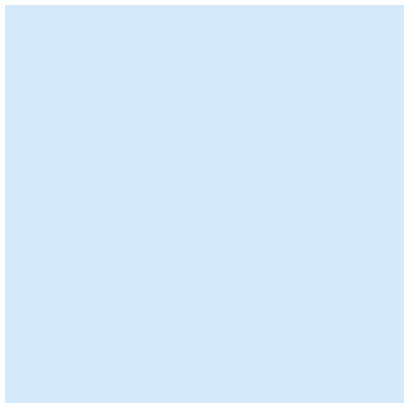
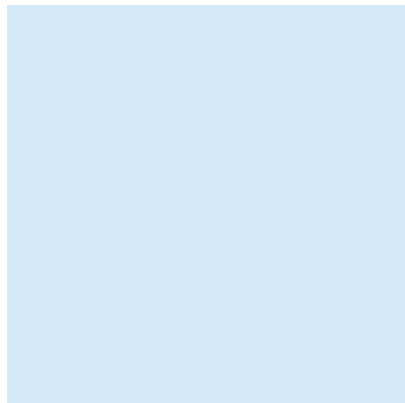
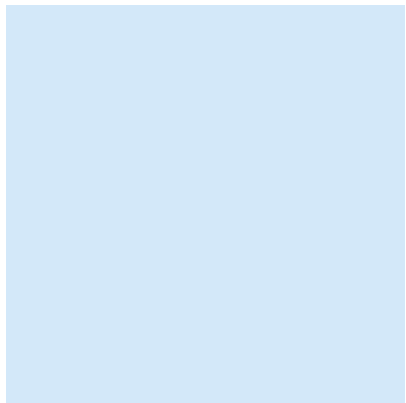
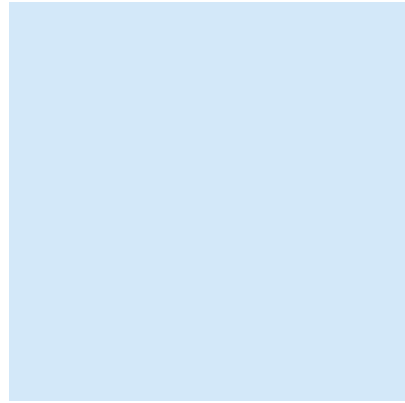
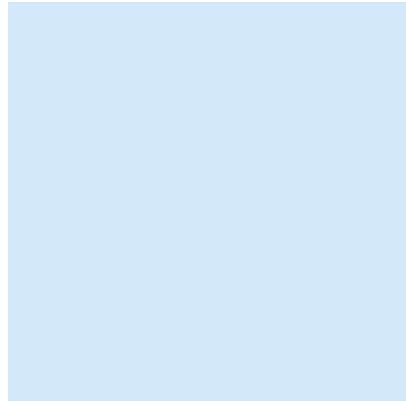
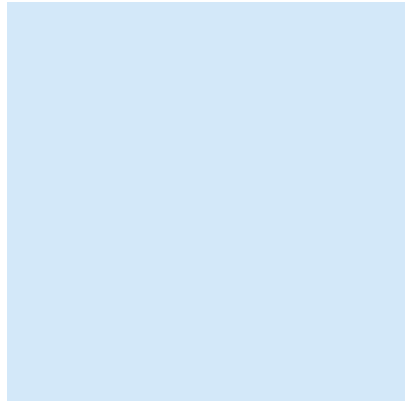




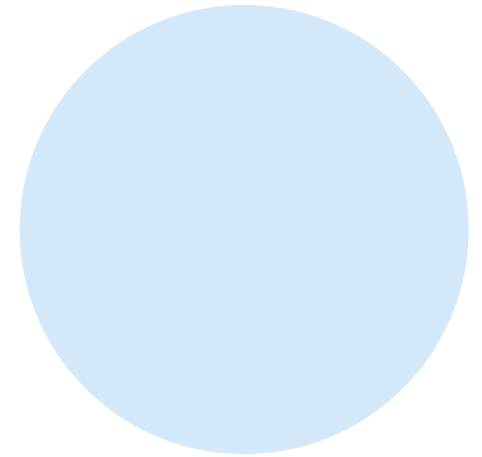
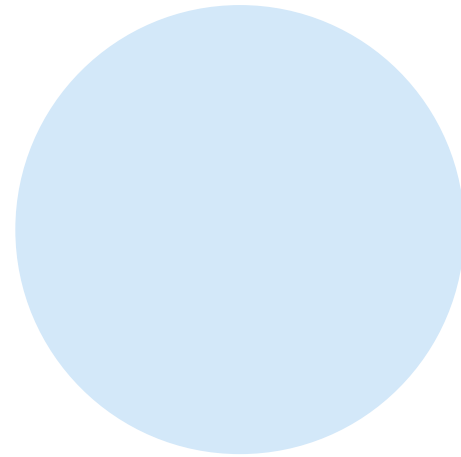
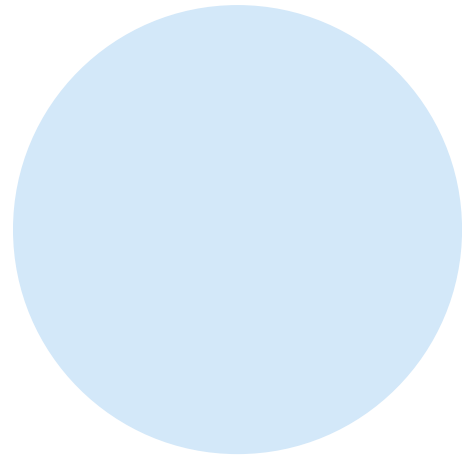
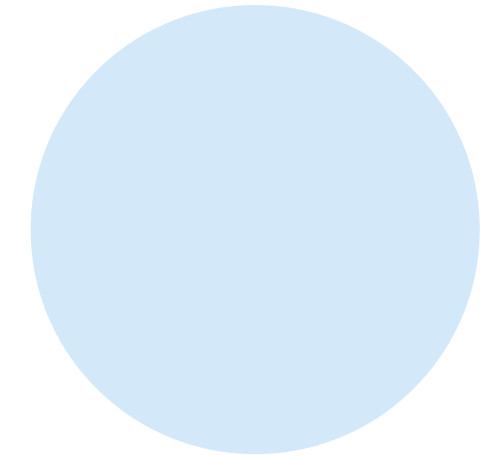












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